

08-10-01

08/09/01

11058 U.S. PTO

Please type a plus sign (+) in this box → ☐

Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	Total Pages
	First Named Inventor or Application Identifier	
	Dexian Dou and Jiajiu Shaw	
Express Mail Label No.		ET401301303 US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patent Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 15] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 6]	<b>ACCOMPANYING APPLICATION PARTS</b> 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application. Statement(s) <input type="checkbox"/> Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other:
4. Oath or Declaration [Total Pages] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) (Note Box 5 below) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No:	

<b>18. CORRESPONDENCE ADDRESS</b> <input type="checkbox"/> Customer Number or Bar Code Label <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)					
NAME	Jiajiu Shaw				
ADDRESS	3166 Shamrock Ct.				
CITY	Ann Arbor	STATE	MI	ZIP CODE	48105
COUNTRY	USA	TELEPHONE	(734) 973-8129	FAX	

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**FEE TRANSMITTAL  
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 395

**Complete if Known**

Application Number

Filing Date

August 9, 2001

First Named Inventor

Dexian Dou

Examiner Name

Group Art Unit

Attorney Docket No.

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
NumberDeposit  
Account  
Name☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check☐ Credit card☐ Money  
Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

355

SUBTOTAL (1) (\$) 355

**2. EXTRA CLAIM FEES**

Total Claims 12 -20\*\* = 2 X 170 = 340

Independent Claims 1 -3\*\* = 1 X 170 = 170

Multiple Dependent        =       

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims  
over original patent110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent

SUBTOTAL (2)

(\$) 0

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**Large  
EntitySmall  
Entity

Fee

Fee

Fee

Fee

Fee Description

Fee Paid

105

130

205

65

Surcharge - late filing fee or oath

127

50

227

25

Surcharge - late provisional filing fee or  
cover sheet

139

130

139

130

Non-English specification

147

2,520

147

2,520

For filing a request for *ex parte* reexamination

112

920\*

112

920\*

Requesting publication of SIR prior to  
Examiner action

113

1,840\*

113

1,840\*

Requesting publication of SIR after  
Examiner action

115

110

215

55

Extension for reply within first month

116

390

216

195

Extension for reply within second month

117

890

217

445

Extension for reply within third month

118

1,390

218

695

Extension for reply within fourth month

128

1,890

228

945

Extension for reply within fifth month

119

310

219

155

Notice of Appeal

120

310

220

155

Filing a brief in support of an appeal

121

270

221

135

Request for oral hearing

138

1,510

138

1,510

Petition to institute a public use proceeding

140

110

240

55

Petition to revive - unavoidable

141

1,240

241

620

Petition to revive - unintentional

142

1,240

242

620

Utility issue fee (or reissue)

143

440

243

220

Design issue fee

144

600

244

300

Plant issue fee

122

130

122

130

Petitions to the Commissioner

123

50

123

50

Processing fee under 37 CFR 1.17(q)

126

180

126

180

Submission of Information Disclosure Stmt

581

40

581

40

Recording each patent assignment per  
property (times number of properties)

146

710

246

355

Filing a submission after final rejection  
(37 CFR § 1.129(a))

149

710

249

355

For each additional invention to be  
examined (37 CFR § 1.129(b))

179

710

279

355

Request for Continued Examination (RCE)

169

900

169

900

Request for expedited examination  
of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40

**SUBMITTED BY**

Name (Print/Type)

Jiajiu Shaw (joint inventor)

Registration No.  
(Attorney/Agent)

Complete (if applicable)

Telephone

(734) 973-8129

Signature

Jiajiu Shaw

Date

8/8/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Utility Patent Application

Title: ANTI-ANGIOGENIC PEPTIDES FOR CANCER TREATMENT

First Inventor: Dexian Dou

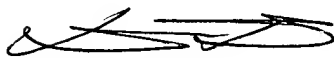
Joint Inventor: Jiajiu Shaw

Certificate of Mailing by Express Mail

The following items are being deposited with the United States Postal Office Service  
"Express Mail Post Office to Addressee" service, Express Mail No: ET401301303US  
under 37 CFR Section 1.10 on the date indicated below and are addressed to the Assistant  
Commissioner for Patents, Washington, DC 20231:

1. Patent Application Transmittal
2. Fee Transmittal
3. Drawing sheets (6)
4. Specification, Claims, and Abstracts
5. Declaration signed August 8, 2001
6. Small Business Declaration (2 small entities as assignees)
7. Information Disclosure Statement (with references attached)
8. Recordation Form with Assignment
9. A check of \$395
10. Acknowledgment card

First Inventors:

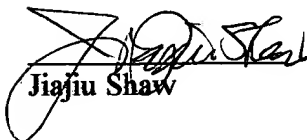


Dexian Dou

8/9/01

Date

Joint Inventor:



Jiajiu Shaw

8/9/01

Date

09927006-080901